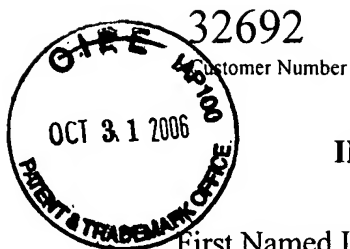


SPV

Patent
Case No.: 58623US002



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: ANDERSON, MARK T.

Application No.: 10/728490

Confirmation No.: 1756

Filed: December 5, 2003

Examiner: D. C. Davis

Title: PROCESS FOR PRODUCING PHOTONIC CRYSTALS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR § 1.8(a)]	
I hereby certify that this correspondence is being:	
<input checked="" type="checkbox"/>	deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
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October 26, 2006	Victoria K. Hanson
Date	Signed by: Victoria K. Hanson

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

Copies of any cited foreign patents, foreign publications, non-patent literature documents, and any pending U.S. applications filed before June 30, 2003, are enclosed. Copies of any pending U.S. applications filed after June 30, 2003 that can be accessed on the USPTO's IFW system are not enclosed as per USPTO Waiver dated September 21, 2004. Copies of any U.S. patents and published U.S. patent applications are not enclosed.

10/31/2006 SFELEKE1 00000065 133723 10728490

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This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311.

Fees:

☒ Please charge the fee provided in 37 CFR § 1.17(p) to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

☐ Any required fee will be paid at the time of EFS-Web submission.

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Respectfully submitted,

October 25, 2006
Date

By: Lucy C. Weiss
Lucy C. Weiss, Reg. No.: 32,834
Telephone No.: 651-733-1189

Office of Intellectual Property Counsel
3M Innovative Properties Company
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

OCT 31 2006

Page 1 of 1

Application Number

10/728490

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First Named Inventor

Anderson, Mark T.

Confirmation Number

1756

Attorney Case Number

58623US002

U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 5,648,407	07-15-1997	Goetz et al.	
	A2	US-			
	A3	US-			
	A4	US-			
	A5	US-			

Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1						
	B2						

OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation (Check if yes)
	C1	D.N. Sharp et al., "Photonic Crystals By Holographic Lithography: Design and Devices", Materials Research Society Meeting Proceedings (Boston, MA), December 5, 2002, pp. 367-368.	
	C2	K. Saravanamuttu et al., "Sol Gel Derived Silica-Acrylate Composites for 3D Holographic Lithography of Photonic Crystals", Materials Research Society Meeting Proceedings (Boston, MA), December 5, 2002, p. 368.	

*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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